

ARKANSAS ETHICS COMMISSION

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Director of Compliance



May 12, 2015

Mr. David Norsworthy
Health Services Permit Commission
Post Office Box 180
Gateway, Arkansas 72733

Re: Statement of Financial Interest for Calendar Year 2014

Dear Mr. Norsworthy:

The Arkansas Ethics Commission (the "Commission") is a state agency charged with enforcing compliance with various public disclosure laws. One such law is Ark. Code Ann. § 21-8-701, which requires the filing of a written Statement of Financial Interest ("SFI") by certain individuals.

Pursuant to Ark. Code Ann. § 21-8-701(a)(5), a public appointee to a state board or commission, which is (i) authorized or charged by law with the exercise of regulatory authority or (ii) authorized to receive or disburse state or federal funds, is required to file a written statement of financial interest ("SFI"). Such appointees are required to file a SFI for the previous calendar year within thirty (30) days after appointment and thereafter file a SFI on an annual basis. Ark. Code Ann. § 21-8-701(c)(1)(A).

Based upon a review of records in the Secretary of State's office, it appears that you have not yet filed a SFI for calendar year 2014 in your capacity as a member of the Health Services Permit Commission. Those records reflect that you were appointed to that commission by Governor Hutchinson on February 16, 2015, and that your appointment became effective when you filed your Oath of Office with the Secretary of State's office on March 13, 2015. Accordingly, the deadline for you to have filed a SFI for 2014 was April 13, 2015 (since the 30 day deadline fell on a weekend).

The Commission is sending this letter to advise you to take corrective action by filing a SFI for calendar year 2014 within ten (10) days from the date of this letter. That filing should be made with the Secretary of State, State Capitol, Room 026, Little Rock, Arkansas 72201.

To assist you in filing, we are enclosing a copy of the SFI form and the accompanying instructions. After the form has been filed, please furnish this office with a file-stamped copy to verify that corrective action has been taken.

This corrective action letter is being sent in accordance with Section IV(C) of the Commission's Rules of Practice and Procedure. This written contact and advice may be in lieu of, or in addition to, other actions by the Commission. Please be advised that failure to comply with the disclosure requirements set forth in Ark. Code Ann. § 21-8-701 may lead to the imposition of sanctions under Ark. Code Ann. § 7-6-218(b)(4).

If you should have any questions or comments concerning this matter or believe this letter has been sent in error, please do not hesitate to contact either Drew Blankenship (of the Commission's staff) or me.

Sincerely,

A handwritten signature in cursive script, appearing to read "Graham F. Sloan".

Graham F. Sloan
Director

Enclosures